

16569 U.S. PTO
021904

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2000 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]
(Preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. Oath or Declaration [Total Pages 6]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

Name	William Henry				
Address	2718 Parkview Lane				
City	Bakersville	State	NC	Zip Code	32208
Country	USA	Telephone	904 764-8773	Fax	
Name (Print/Type)	William Henry		Registration No. (Attorney/Agent)		
Signature	[Signature]		Date		19 Feb 04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

BEST AVAILABLE COPY

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 50%;">Application Number</td><td style="width: 50%;"></td></tr> <tr><td>Filing Date</td><td></td></tr> <tr><td>First Named Inventor</td><td></td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Group Art Unit</td><td></td></tr> <tr><td>Attorney Docket No.</td><td></td></tr> </table>		Application Number		Filing Date		First Named Inventor		Examiner Name		Group Art Unit		Attorney Docket No.	
Application Number															
Filing Date															
First Named Inventor															
Examiner Name															
Group Art Unit															
Attorney Docket No.															
TOTAL AMOUNT OF PAYMENT	(\$)														

<p style="text-align: center;">METHOD OF PAYMENT</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.</p> <p>Deposit Account Number: </p> <p>Deposit Account Name: </p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 710</td><td>201 355</td><td>Utility filing fee</td><td>333</td></tr> <tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td></td></tr> <tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="3" style="text-align: right;">SUBTOTAL (1)</td><td>(\$) 333.00</td></tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <p>Total Claims Independent Claims Multiple Dependent: </p> <p>Extra Claims -20** = X Fee from below = </p> <p>Extra Claims -3** = X = </p> <p>Large Entity Fee Code (\$)<th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee	333	106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1)			(\$) 333.00	Small Entity Fee Code (\$)	Fee Description	Fee Paid
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																												
101 710	201 355	Utility filing fee	333																												
106 320	206 160	Design filing fee																													
107 490	207 245	Plant filing fee																													
108 710	208 355	Reissue filing fee																													
114 150	214 75	Provisional filing fee																													
SUBTOTAL (1)			(\$) 333.00																												
103 18	203 9	Claims in excess of 20																													
102 80	202 40	Independent claims in excess of 3																													
104 270	204 135	Multiple dependent claim, if not paid																													
109 80	209 40	** Reissue independent claims over original patent																													
110 18	210 9	** Reissue claims in excess of 20 and over original patent																													
SUBTOTAL (2)			(\$)																												
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																												
105 130	205 65	Surcharge - late filing fee or oath																													
127 50	227 25	Surcharge - late provisional filing fee or cover sheet																													
139 130	139 130	Non English specification																													
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination																													
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																													
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																													
115 110	215 55	Extension for reply within first month																													
116 390	216 195	Extension for reply within second month																													
117 890	217 445	Extension for reply within third month																													
118 1,390	218 695	Extension for reply within fourth month																													
128 1,890	228 945	Extension for reply within fifth month																													
119 310	219 155	Notice of Appeal																													
120 310	220 155	Filing a brief in support of an appeal																													
121 270	221 135	Request for oral hearing																													
138 1,510	138 1,510	Petition to institute a public use proceeding																													
140 110	240 55	Petition to revive - unavoidable																													
141 1,240	241 620	Petition to revive - unintentional																													
142 1,240	242 620	Utility issue fee (or reissue)																													
143 440	243 220	Design issue fee																													
144 600	244 300	Plant issue fee																													
122 130	122 130	Petitions to the Commissioner																													
123 50	123 50	Processing fee under 37 CFR 1.17(q)																													
126 180	126 180	Submission of Information Disclosure Stmt																													
581 40	581 40	Recording each patent assignment per property (times number of properties)																													
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))																													
149 710	249 355	For each additional invention to be examined (37.CFR § 1.129(b))																													
179 710	279 355	Request for Continued Examination (RCE)																													
169 900	169 900	Request for expedited examination of a design application																													
Other fee (specify) _____																															
SUBTOTAL (3)			(\$)																												

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Signature		904-764-9773	19 Feb 04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY